

Christian Alberto Portocarrero Moreno

Financial economist with experience in fintech and regulation

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Work Experience

Senior Analyst, Market Supervision Department

Superintendence of Securities Market

📅 2024 – Present 📍 Lima – Peru

- Monitor securities trading on the Lima stock exchange and report unusual activities.
- Conduct research to detect illicit short-term gains by managers or directors of publicly listed companies, insider trading or price fixing practices by traders or market participants.

Regulatory Analyst, Content Operations Team

Regulatory Genome Development Limited

📅 2022 – 2024 📍 Remote, UK

- As subject matter expert, I expanded the coverage of the regulatory obligations related to capital markets, financial markets infrastructure, market abuse and bank related prudential regulation. I also contributed to the expansion of the AML domain knowledge.
- Collaborated with the data science team and the Q/A team to enhance the precision of AI/ML models for the identification of obligations within legal and regulatory texts.
- Tracked legal and regulatory initiatives from securities regulators and assessed internal data structures for their adaptability to new developments.

Consultant, Finance

Mungi NGO

📅 2019 – 2021 📍 Lima – Peru

- Financial modeling of an 195 thousand acres restoration, conservation and agroforestry project in the Junin Region.
- Delivered presentations to local authorities and government organizations.

Analyst, Research and Innovation General Intendency

Superintendence of Securities Market

📅 2015 – 2021 📍 Lima – Peru

- Conducted macroeconomic research, comparative law and regulation research, economic analysis of bills and laws, and prepare drafts for bills and regulations (Crowdfunding, investment funds, sustainability report, among others).
- Leader of the team in charge of developing the draft for the crowdfunding bill, passed as law in 2020, and developing the draft of the general provisions for the bill, enacted in 2021.
- Prepared periodic reports on Peruvian securities market activity: Debt issuance, stock market trading volume, market movers, and investment funds performance.
- Delivered presentations to retail and institutional investors, students and to the general public about capital markets, regulation and the role of the SMV.

Junior Analyst, Market Infrastructure Department

Superintendence of Securities Market

📅 2013 – 2015 📍 Lima – Peru

- Monitored and tracked investment funds' strategies and performance, investment policy and regulatory requirements compliance.
- Performed daily valuations of fixed income securities and derivatives instruments on the investment funds' portfolios.
- Responsible for monitoring the correct valuation of yield curves of the Data Vendor, used as inputs for securities valuation by broker/dealers and asset managers.

Main Achievements



Securities market regulation

Drafting of the crowdfunding bill and its general provisions. Reviewed the rules for investment funds allowing external investment management and shares distribution. Drafting of the first report on corporate sustainability for companies listed on the Peruvian exchange.



AML&TF risk monitoring team

As part of an IMF technical assistance mission, I was responsible for the design and deployment of a framework to address AML risk within Peruvian brokerage firms. I led the development of a quantitative model and subsequently presented the findings to the IMF.



Regulatory quality assurance team

In charge of assessing the regulatory quality of all the regulations approved by the SMV, with special focus on rotating credit and savings associations rules and on structuring entities rules.



Reports and data analysis

Re-engineered data gathering process and developed tools that automated reports, using internal and external data sources, while keeping a close relationship with the data managers, and assessing data reliability.

Languages

Spanish ●●●●●

English ●●●●●

Soft Skills

- proactive communication
- creative analytical teamwork
- problem solving adaptability

Technical Skills

MS Office & VBA ●●●●●

MATLAB & SQL ●●●●●

R & Python ●●●●●

Bloomberg & T.R. Eikon ●●●●●

Work Experience

- Developed and deployed a risk matrix for money laundering and terrorism financing for broker/dealer's. In charge of presenting the project the IMF.
- Automated procedures, using Excel VBA, Bloomberg, and data vendor information, that increased productivity by reducing the valuation time for all instruments in investment fund portfolios to minutes. Developed tools that analyzed over +\$8 billion in assets under management (AUM) and more than 1,000 instruments.

Intern, Market Infrastructure Department

Superintendence of Securities Market

📅 2012 – 2013 📍 Lima – Peru

- Assisted in the preparation of performance attribution reports for investment fund portfolios.
- Monitored compliance with capital regulations for asset managers and broker/dealers.

Intern, Loan Origination Office

Edpyme Alternativa

📅 Jan - Jun 2008 📍 Chiclayo - Peru

- Valuation of SME's for micro loans origination. Increased loan portfolio by 2% and increased clients by 5% while keeping default rate under 3.8%, below company's mean.

Education

MSc in Financial Engineering

WorldQuant University

📅 2026 (Expected) 📍 Online

Bachelor in Economics

Santo Toribio de Mogrovejo Catholic University

📅 2012 📍 Peru

Relevant Training

Foundations of Financial Engineering

WorldQuant University

📅 2025 📍 Online

Oxford Algorithmic Trading Programme

Saïd Business School - University of Oxford

📅 2020 📍 Online

Financial Development and Financial Inclusion

International Monetary Fund – IMFx

📅 2020 📍 Online

Law and Finance Executive Education Diploma

ESAN

📅 2016 - 2018 📍 Peru

- Fixed Income trading, Valuation, M&A and Project Finance.
- Trusts, securitization, financial contracts and taxation.

Scholarships and Awards

Advance Finance Extension Program

🏛️ Central Reserve Bank of Peru

📅 2015 📍 Lima – Peru

Financial Markets Extension Program

📈 Superintendence of Securities Market

📅 2012 📍 Lima – Peru

Telecom Regulation Extension Program

📡 Supervisory Agency for Private Investment in Telecommunications

📅 2011 📍 Lima – Peru

References

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Dean, *Faculty of Business Administration*

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